849

Response to Product Transfer Account Adjustment

Functional Group=CF

This Draft Standard for Trial Use contains the format and establishes the data contents of the Response to Product Transfer Account Adjustment Transaction Set (849) for use within the context of an Electronic Data Interchange (EDI) environment. The transaction set can be used to transmit a detailed or summary response to a party requesting an accounting adjustment relating to a pre-authorized product transfer.

Heading:

Pos	Id	Segment Name	Req	Max Use	Repeat	Notes	Usage
010	ST	Transaction Set Header	M	1			Must use
020	BRC	Beginning Segment for Response to Product Transfer Account Adjustment	M	1			Must use
050	REF	Reference Identification	O	12			Used
LOOP ID - N1					**50**		
080	N1	Name	O	1			Used

Detail:

Pos	Id	Segment Name	Req	Max Use	Repeat	Notes	Usage
LOOP ID - CON					**10000**		
010	CON	Contract Number Detail	O	1			Used
LOOP ID - N1					**50**		
060	N1	Name	O	1			Used
LOOP ID - PAD					**1000**		
120	PAD	Product Adjustment Detail	O	1			Used
130	LIN	Item Identification	O	1			Used
140	AAA	Request Validation	O	10			Used
170	UIT	Unit Detail	O	5			Used
180	QTY	Quantity	O	5			Used
190	AMT	Monetary Amount	O	2		N2/190	Used
210	REF	Reference Identification	O	12			Used
220	DTM	Date/Time Reference	O	10			Used

Summary:

Pos	Id	Segment Name	Req	Max Use	Repeat	Notes	Usage
010	CTT	Transaction Totals	M	1		N3/010	Must use
020	AMT	Monetary Amount	O	5		N3/020	Used
030	SE	Transaction Set Trailer	M	1			Must use

Notes:

2/190   The AMT segment is to be used only in cases where the amount cannot be computed from other detail.

3/010   Number of line items (CTT01) is the accumulation of the number of CON segments. If used, hash total (CTT02) is the sum of the quantities (QTY02) for each QTY segment.

3/020   The AMT segment is to be used only in cases where the amount cannot be computed from other detail.

ST   Transaction Set Header

| Pos: 010   Max: 1 |
| Heading - Mandatory |
| Loop: N/A   Elements: 2 |

User Option (Usage): Must use

To indicate the start of a transaction set and to assign a control number

Element Summary:

Ref	Id	Element Name	Req	Type	Min/Max	Usage
ST01	143	**Transaction Set Identifier Code**	M	ID	3/3	Must use

Description: Code uniquely identifying a Transaction Set

Code	Name
849	Response to Product Transfer Account Adjustment

Ref	Id	Element Name	Req	Type	Min/Max	Usage
ST02	329	**Transaction Set Control Number**	M	AN	4/9	Must use

Description: Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set

Semantics:

1. The transaction set identifier (ST01) used by the translation routines of the interchange partners to select the appropriate transaction set definition (e.g., 810 selects the Invoice Transaction Set).

BRC   Beginning Segment for Response to Product Transfer Account Adjustment

Pos: 020   Max: 1
Heading - Mandatory
Loop: N/A   Elements: 4

User Option (Usage): Must use

To identify the beginning of a Response to Product Transfer Account Adjustment Transaction Set

Element Summary:

Ref	Id	Element Name	Req	Type	Min/Max	Usage
BRC01	353	**Transaction Set Purpose Code**	M	ID	2/2	Must use

Description: Code identifying purpose of transaction set

Code	Name
00	Original

Ref	Id	Element Name	Req	Type	Min/Max	Usage
BRC02	373	**Date**	M	DT	8/8	Must use

Description: Date expressed as CCYYMMDD

Ref	Id	Element Name	Req	Type	Min/Max	Usage
BRC03	128	**Reference Identification Qualifier**	X	ID	2/3	Used

Description: Code qualifying the Reference Identification

Code	Name
CM	Buyer's Credit Memo

Ref	Id	Element Name	Req	Type	Min/Max	Usage
BRC04	127	**Reference Identification**	X	AN	1/30	Used

Description: Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier

Syntax Rules:

1. P0304 - If either BRC03 or BRC04 is present, then the other is required.

Semantics:

1. BRC02 is the date of the response to product transfer account adjustment.
2. BRC05 is the time of the response to product transfer account adjustment.

REF   Reference Identification

Pos: 050	Max: 12
Heading - Optional	
Loop: N/A	**Elements: 2**

User Option (Usage): Used

To specify identifying information

Element Summary:

Ref	Id	Element Name	Req	Type	Min/Max	Usage
REF01	128	**Reference Identification Qualifier**	M	ID	2/3	Must use

> **Description:** Code qualifying the Reference Identification

Code	Name
AM	Adjustment Memo (Charge Back)

Ref	Id	Element Name	Req	Type	Min/Max	Usage
REF02	127	**Reference Identification**	X	AN	1/30	Used

> **Description:** Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier

Syntax Rules:

1. R0203 - At least one of REF02 or REF03 is required.

Semantics:

1. REF04 contains data relating to the value cited in REF02.

Loop N1

Pos: 080	**Repeat: 50**
	Optional
Loop: N1	**Elements: N/A**

User Option (Usage): Used

To identify a party by type of organization, name, and code

Loop Summary:

Pos	Id	Segment Name	Req	Max Use	Repeat	Usage
080	N1	Name	O	1		Used

N1 **Name**

Pos: 080	**Max: 1**
Heading - Optional	
Loop: N1	**Elements: 4**

User Option (Usage): Used

To identify a party by type of organization, name, and code

Element Summary:

Ref	Id	Element Name	Req	Type	Min/Max	Usage
N101	98	**Entity Identifier Code**	M	ID	2/3	Must use

Description: Code identifying an organizational entity, a physical location, property or an individual

Code	Name
DB	Distributor Branch
MF	Manufacturer of Goods

Ref	Id	Element Name	Req	Type	Min/Max	Usage
N102	93	**Name**	X	AN	1/60	Used

Description: Free-form name

Ref	Id	Element Name	Req	Type	Min/Max	Usage
N103	66	**Identification Code Qualifier**	X	ID	1/2	Used

Description: Code designating the system/method of code structure used for Identification Code (67)

Code	Name
11	Drug Enforcement Administration (DEA)

Ref	Id	Element Name	Req	Type	Min/Max	Usage
N104	67	**Identification Code**	X	AN	2/80	Used

Description: Code identifying a party or other code

Syntax Rules:
1. R0203 - At least one of N102 or N103 is required.
2. P0304 - If either N103 or N104 is present, then the other is required.

Comments:
1. This segment, used alone, provides the most efficient method of providing organizational identification. To obtain this efficiency the "ID Code" (N104) must provide a key to the table maintained by the transaction processing party.
2. N105 and N106 further define the type of entity in N101.

Loop CON

Pos: 010	**Repeat: 10000**
	Optional
Loop: CON	**Elements: N/A**

User Option (Usage): Used

To specify contract or reference number and status

Loop Summary:

Pos	Id	Segment Name	Req	Max Use	Repeat	Usage
010	CON	Contract Number Detail	O	1		Used
060		Loop N1	O		50	Used
120		Loop PAD	O		1000	Used

CON   Contract Number Detail

Pos: 010	Max: 1
Detail - Optional	
Loop: CON	**Elements: 3**

User Option (Usage): Used

To specify contract or reference number and status

Element Summary:

Ref	Id	Element Name	Req	Type	Min/Max	Usage
CON01	128	**Reference Identification Qualifier**	M	ID	2/3	Must use

Description: Code qualifying the Reference Identification

Code	Name
VC	Vendor Contract Number

Ref	Id	Element Name	Req	Type	Min/Max	Usage
CON02	127	**Reference Identification**	M	AN	1/30	Must use

Description: Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier

Ref	Id	Element Name	Req	Type	Min/Max	Usage
CON03	846	**Contract Status Code**	M	ID	2/2	Must use

Description: Code designating the current status of the contract

Code	Name
VA	Valid Open Contract

Loop N1

Pos: 060	**Repeat: 50**
Optional	
Loop: N1	**Elements: N/A**

User Option (Usage): Used

To identify a party by type of organization, name, and code

Loop Summary:

Pos	Id	Segment Name	Req	Max Use	Repeat	Usage
060	N1	Name	O	1		Used

N1 Name

User Option (Usage): Used

To identify a party by type of organization, name, and code

Element Summary:

Ref	Id	Element Name	Req	Type	Min/Max	Usage
N101	98	**Entity Identifier Code**	M	ID	2/3	Must use

Description: Code identifying an organizational entity, a physical location, property or an individual

Code	Name
ST	Ship To

Ref	Id	Element Name	Req	Type	Min/Max	Usage
N102	93	**Name**	X	AN	1/60	Used

Description: Free-form name

Ref	Id	Element Name	Req	Type	Min/Max	Usage
N103	66	**Identification Code Qualifier**	X	ID	1/2	Used

Description: Code designating the system/method of code structure used for Identification Code (67)

Code	Name
11	Drug Enforcement Administration (DEA)

Ref	Id	Element Name	Req	Type	Min/Max	Usage
N104	67	**Identification Code**	X	AN	2/80	Used

Description: Code identifying a party or other code

Syntax Rules:
1. R0203 - At least one of N102 or N103 is required.
2. P0304 - If either N103 or N104 is present, then the other is required.

Comments:
1. This segment, used alone, provides the most efficient method of providing organizational identification. To obtain this efficiency the "ID Code" (N104) must provide a key to the table maintained by the transaction processing party.
2. N105 and N106 further define the type of entity in N101.

Loop PAD

<table>
<tr><td>Pos: 120　　　Repeat: 1000
Optional
Loop: PAD　Elements: N/A</td></tr>
</table>

User Option (Usage): Used

To specify the product transfer type, and/or line item number

Loop Summary:

Pos	Id	Segment Name	Req	Max Use	Repeat	Usage
120	PAD	Product Adjustment Detail	O	1		Used
130	LIN	Item Identification	O	1		Used
140	AAA	Request Validation	O	10		Used
170	UIT	Unit Detail	O	5		Used
180	QTY	Quantity	O	5		Used
190	AMT	Monetary Amount	O	2		Used
210	REF	Reference Identification	O	12		Used
220	DTM	Date/Time Reference	O	10		Used

PAD Product Adjustment Detail

Pos: 120   Max: 1
Detail - Optional
Loop: PAD   Elements: 1

User Option (Usage): Used

To specify the product transfer type, and/or line item number

Element Summary:

Ref	Id	Element Name	Req	Type	Min/Max	Usage
PAD01	350	**Assigned Identification**	X	AN	1/20	Used

> **Description:** Alphanumeric characters assigned for differentiation within a transaction set

Syntax Rules:
1. R0102 - At least one of PAD01 or PAD02 is required.
2. P0405 - If either PAD04 or PAD05 is present, then the other is required.

Semantics:
1. PAD01 is the line item identification.

LIN   Item Identification

Pos: 130	Max: 1
Detail - Optional	
Loop: PAD	**Elements: 2**

User Option (Usage): Used

To specify basic item identification data

Element Summary:

Ref	Id	Element Name	Req	Type	Min/Max	Usage
LIN02	235	**Product/Service ID Qualifier**	M	ID	2/2	Must use

Description: Code identifying the type/source of the descriptive number used in Product/Service ID (234)

Code	Name
N4	National Drug Code in 5-4-2 Format

Ref	Id	Element Name	Req	Type	Min/Max	Usage
LIN03	234	**Product/Service ID**	M	AN	1/48	Must use

Description: Identifying number for a product or service

Syntax Rules:

1. P0405 - If either LIN04 or LIN05 is present, then the other is required.
2. P0607 - If either LIN06 or LIN07 is present, then the other is required.
3. P0809 - If either LIN08 or LIN09 is present, then the other is required.
4. P1011 - If either LIN10 or LIN11 is present, then the other is required.
5. P1213 - If either LIN12 or LIN13 is present, then the other is required.
6. P1415 - If either LIN14 or LIN15 is present, then the other is required.
7. P1617 - If either LIN16 or LIN17 is present, then the other is required.
8. P1819 - If either LIN18 or LIN19 is present, then the other is required.
9. P2021 - If either LIN20 or LIN21 is present, then the other is required.
10. P2223 - If either LIN22 or LIN23 is present, then the other is required.
11. P2425 - If either LIN24 or LIN25 is present, then the other is required.
12. P2627 - If either LIN26 or LIN27 is present, then the other is required.
13. P2829 - If either LIN28 or LIN29 is present, then the other is required.
14. P3031 - If either LIN30 or LIN31 is present, then the other is required.

Semantics:

1. LIN01 is the line item identification

Comments:

1. See the Data Dictionary for a complete list of IDs.
2. LIN02 through LIN31 provide for fifteen different product/service IDs for each item. For example: Case, Color, Drawing No., U.P.C. No., ISBN No., Model No., or SKU.

AAA Request Validation

Pos: 140	Max: 10
Detail - Optional	
Loop: PAD	**Elements: 3**

User Option (Usage): Used

To specify the validity of the request and indicate follow-up action authorized

Element Summary:

Ref	Id	Element Name	Req	Type	Min/Max	Usage
AAA01	1073	**Yes/No Condition or Response Code**	M	ID	1/1	Must use

Description: Code indicating a Yes or No condition or response

Code	Name
N	No

Ref	Id	Element Name	Req	Type	Min/Max	Usage
AAA02	559	**Agency Qualifier Code**	O	ID	2/2	Used

Description: Code identifying the agency assigning the code values

Code	Name
DR	National Wholesale Druggists Association

Ref	Id	Element Name	Req	Type	Min/Max	Usage
AAA03	901	**Reject Reason Code**	O	ID	2/2	Used

Description: Code assigned by issuer to identify reason for rejection

Semantics:

1. AAA01 designates whether the request is valid or invalid. Code "Y" indicates that the code is valid; code "N" indicates that the code is invalid.

Comments:

1. If AAA02 is used, AAA03 contains a code from an industry code list.

UIT   Unit Detail

Pos: 170	Max: 5
Detail - Optional	
Loop: PAD	**Elements: 3**

User Option (Usage): Used

To specify item unit data

Element Summary:

Ref	Id	Element Name	Req	Type	Min/Max	Usage
UIT01	C001	**Composite Unit of Measure**	M	Comp		Must use

Description: To identify a composite unit of measure(See Figures Appendix for examples of use)

| | 355 | **Unit or Basis for Measurement Code** | M | ID | 2/2 | Must use |

Description: Code specifying the units in which a value is being expressed, or manner in which a measurement has been taken

Code	Name
UN	Unit

| UIT02 | 212 | **Unit Price** | X | R | 1/17 | Used |

Description: Price per unit of product, service, commodity, etc.

| UIT03 | 639 | **Basis of Unit Price Code** | O | ID | 2/2 | Used |

Description: Code identifying the type of unit price for an item

Code	Name
CT	Contract
SC	Submitted Contract
SW	Submitted Wholesale
WH	Wholesale

Syntax Rules:
1. C0302 - If UIT03 is present, then UIT02 is required.

QTY   Quantity

<div>
Pos: 180   Max: 5
Detail - Optional
Loop: PAD   Elements: 2
</div>

User Option (Usage): Used

To specify quantity information

Element Summary:

Ref	Id	Element Name	Req	Type	Min/Max	Usage
QTY01	673	**Quantity Qualifier**	M	ID	2/2	Must use

Description: Code specifying the type of quantity

Code	Name
32	Quantity Sold
83	Submitted Quantity Sold

Ref	Id	Element Name	Req	Type	Min/Max	Usage
QTY02	380	**Quantity**	X	R	1/15	Used

Description: Numeric value of quantity

Syntax Rules:

1. R0204 - At least one of QTY02 or QTY04 is required.
2. E0204 - Only one of QTY02 or QTY04 may be present.

Semantics:

1. QTY04 is used when the quantity is non-numeric.

AMT Monetary Amount

Pos: 190   Max: 2
Detail - Optional
Loop: PAD   Elements: 2

User Option (Usage): Used

To indicate the total monetary amount

Element Summary:

Ref	Id	Element Name	Req	Type	Min/Max	Usage
AMT01	522	**Amount Qualifier Code**	M	ID	1/3	Must use

Description: Code to qualify amount

Code	Name
A	Adjusted Chargeback Claim Amount
S	Submitted Chargeback Claim Amount

Ref	Id	Element Name	Req	Type	Min/Max	Usage
AMT02	782	**Monetary Amount**	M	R	1/18	Must use

Description: Monetary amount

REF   Reference Identification

Pos: 210	Max: 12
Detail - Optional	
Loop: PAD	Elements: 2

User Option (Usage): Used

To specify identifying information

Element Summary:

Ref	Id	Element Name	Req	Type	Min/Max	Usage
REF01	128	**Reference Identification Qualifier**	M	ID	2/3	Must use

Description: Code qualifying the Reference Identification

Code	Name
DI	Distributor Invoice Number

Ref	Id	Element Name	Req	Type	Min/Max	Usage
REF02	127	**Reference Identification**	X	AN	1/30	Used

Description: Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier

Syntax Rules:
1. R0203 - At least one of REF02 or REF03 is required.

Semantics:
1. REF04 contains data relating to the value cited in REF02.

DTM Date/Time Reference

<div>
Pos: 220   Max: 10
Detail - Optional
Loop: PAD   Elements: 2
</div>

User Option (Usage): Used

To specify pertinent dates and times

Element Summary:

Ref	Id	Element Name	Req	Type	Min/Max	Usage
DTM01	374	**Date/Time Qualifier**	M	ID	3/3	Must use

Description: Code specifying type of date or time, or both date and time

Code	Name
003	Invoice

Ref	Id	Element Name	Req	Type	Min/Max	Usage
DTM02	373	**Date**	X	DT	8/8	Used

Description: Date expressed as CCYYMMDD

Syntax Rules:
1. R020305 - At least one of DTM02, DTM03 or DTM05 is required.
2. C0403 - If DTM04 is present, then DTM03 is required.
3. P0506 - If either DTM05 or DTM06 is present, then the other is required.

CTT   Transaction Totals

Pos: 010	Max: 1
Summary - Mandatory	
Loop: N/A	Elements: 1

User Option (Usage): Must use

To transmit a hash total for a specific element in the transaction set

Element Summary:

Ref	Id	Element Name	Req	Type	Min/Max	Usage
CTT01	354	**Number of Line Items**	M	N0	1/6	Must use

   Description: Total number of line items in the transaction set

Syntax Rules:

   1. P0304 - If either CTT03 or CTT04 is present, then the other is required.
   2. P0506 - If either CTT05 or CTT06 is present, then the other is required.

Comments:

   1. This segment is intended to provide hash totals to validate transaction completeness and correctness.

AMT   Monetary Amount

Pos: 020	Max: 5
Summary - Optional	
Loop: N/A	Elements: 2

User Option (Usage): Used

To indicate the total monetary amount

Element Summary:

Ref	Id	Element Name	Req	Type	Min/Max	Usage
AMT01	522	**Amount Qualifier Code**	M	ID	1/3	Must use

Description: Code to qualify amount

Code	Name
A	Adjusted Chargeback Claim Amount
S	Submitted Chargeback Claim Amount

Ref	Id	Element Name	Req	Type	Min/Max	Usage
AMT02	782	**Monetary Amount**	M	R	1/18	Must use

Description: Monetary amount

# **SE**	**Transaction Set Trailer**	**Pos: 030** **Max: 1**
		Summary - Mandatory
		Loop: N/A **Elements: 2**

User Option (Usage): Must use

To indicate the end of the transaction set and provide the count of the transmitted segments (including the beginning (ST) and ending (SE) segments)

Element Summary:

Ref	Id	Element Name	Req	Type	Min/Max	Usage
SE01	96	**Number of Included Segments**	M	N0	1/10	Must use

Description: Total number of segments included in a transaction set including ST and SE segments

Ref	Id	Element Name	Req	Type	Min/Max	Usage
SE02	329	**Transaction Set Control Number**	M	AN	4/9	Must use

Description: Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set

Comments:

1. SE is the last segment of each transaction set.