# **Privacy Notice**

This Privacy Notice is meant to explain to you what kind of data we collect from what sources and are used for which purposes

### Identity and contact details of the data controller

F. Hoffmann-La Roche Ltd, Grenzacherstrasse 124, CH-4070 Basel, Switzerland, email: global.privacy\_info@roche.com ("Roche") is data controller.

In the event that your personal data is covered by the EU General Data Protection Regulation (EU) 2016/679 ("GDPR"): EU representative of F. Hoffmann-La Roche Ltd is Roche Privacy GmbH, Emil-Barell-Str. 1, D-79639 Grenzach-Wyhlen.

Please direct any questions and requests related to this information to F. Hoffmann-La Roche Ltd, Global Privacy Office, Grenzacherstrasse 124, CH-4070 Basel, Switzerland, email: investor.relations@roche-global.com.

## Purposes and legal basis for processing

Your personal data is collected and being used to maintain our business relationship with investors and analysts, including:

- invite investors and analysts and organize their participation at Roche's meetings, events and conference calls,
- provide investors and analysts with useful tools and integrated information, e.g. our shareholders assembly, roadshows and investor updates,
- respond to your requests and inquiries.
- run analyses so that we meet the requirements with our actions

If and to the extent the data processing is subject to GDPR, we collect and process your data on basis of our legitimate interest to enable our executive management to address relevant information to you.

### Categories of personal data processed

Your personal information is collected when you provide it to us. The categories of personal data that we may process include your name, first name, company name, and job title.

### Recipients of personal data

Recipients of your data may be Roche's affiliates around the world including in countries with privacy standards different from those in your country. A list of Roche's affiliates is available in the current annual report which can be found in the Investors section of www.roche.com. Additional information in case your data is covered by GDPR.

Your data will be processed in a customer relation management system. Data processor for the web based system is IHS Markit,

Regarding the exchange of data within the Roche Group and Roche's data processors, contracts containing the EU Standard Contractual Clauses according to EU Commission decisions of 27 December 2004 (2004/915/EC) and 05 February 2010 (C(2010) 593) constitute appropriate and suitable safeguards to ensure compliance with GDPR.

#### Storage period

We will retain your personal data only for as long as is necessary in connection with the purposes set out in this Privacy Notice and no longer than three years after the end of the year from which the data was collected, unless applicable law requires a longer retention period.

#### Information about your rights if your data is covered by GDPR

Provided your personal data is covered by GDPR, please note that you have the right to request from Roche access to and rectification of your personal data as well as the right to data portability, if applicable, or erasure or restriction of processing of your personal data. Erasure or restriction of processing is only possible if and to the extent the processing of personal data is based on consent or legitimate interest. If data processing is based on consent, kindly note that you have the right to withdraw your consent at any time, however, without affecting the lawfulness of processing based on consent before its withdrawal. For sending us a note to exercise your right to withdraw consent, please see contact details in the section "Identity and contact details of the data controller" above.

To avoid that your data is entered in the systems again after your request for erasure, in your interest and for us to comply with GDPR we may keep your name and e-mail address with a flag "Don't contact anymore" in our systems.

In the event you have the impression that our data processing is non-compliant with GDPR: You are entitled to lodge a complaint with the responsible supervisory authority.